

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

Attorney Docket No.

ALCA1910-1

First Inventor or Application Identifier

David Sawey, et al.

Title

Method and System for Frame and Pointer Alignment of  
Sonet Data Channels

Express Mail Label No.

EL911433024US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

1. ☒ Fee Transmittal for FY 2001  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages] **47**  
(preferred arrangement set forth below)
- x - Descriptive Title of the Invention
  - x - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Description of Related Art
  - x - Field of the Invention
  - x - Summary of the Invention
  - x - Brief Description of the Drawings (if filed)
  - x - Detailed Description
  - x - Claim(s)
  - x - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) [Total Sheets] **6**
4. ☒ Oath or Declaration [Total Pages] **2**
- a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b)
5. ☐ Incorporation By Reference (useable if box 4b is checked).  
The entire disclosure of the prior application, from  
which a copy of the oath or declaration is supplied  
under Box 4b, is considered to be part of the disclosure  
of the accompanying application and is hereby  
incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer-Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8. ☒ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement  
(when there is an assignee) ☒ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard
14. ☒ No Small Entity ☐ Statement filed in prior application,  
Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☒ Other: Certificate of Express Mail:Checks  
(\$710.00 and \$40.00); Request for Non-  
Publication

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment

☐ Continuation ☐ Divisional ☐ Continuation-In-Part (CIP) of prior Application No.: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group / Art Unit \_\_\_\_\_

☒ Claims the benefit of Provisional Application No. 60/236,619 filed September 29, 2000**CORRESPONDENCE ADDRESS:**Customer Number Label:  
Name: **Armando Pastrana, Jr.**  
Ph: **512-457-7080**  
Fax: **512-457-7070**

25094

PATENT TRADEMARK OFFICE

TYPED or PRINTED NAME Armando Pastrana, Jr.REGISTRATION NO. 44,997

SIGNATURE \_\_\_\_\_

DATE: September 28, 2001

# FEE TRANSMITTAL for FY 2001

## Complete if Known

<b>FEE TRANSMITTAL for FY 2001</b>		Application Number	
		Filing Date	September 28, 2001
		First Named Inventor	David Sawey, et al.
		Examiner Name	Unknown
		Group / Art Unit	Unknown
TOTAL AMOUNT OF PAYMENT	(\$ 1046.00)	Attorney Docket No.	ALCA1910-1

### METHOD OF PAYMENT (check one)

### FEE CALCULATION (continued)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number **50-0456**

Deposit Account Name **Gray Cary Ware & Freidenrich LLP**

☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Code	\$	Code	\$		
101	710	201	355	Utility Filing Fee	<input checked="" type="checkbox"/>
106	310	206	155	Design Filing Fee	<input type="checkbox"/>
107	480	207	240	Plant Filing Fee	<input type="checkbox"/>
108	690	208	345	Reissue Filing Fee	<input type="checkbox"/>
114	150	214	75	Provisional Filing Fee	<input type="checkbox"/>
<b>SUBTOTAL (1)</b>					<b>(\$710.00)</b>

#### 2. EXTRA CLAIM FEES

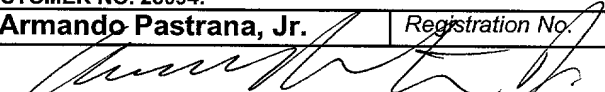
Claims	32	-20	Extra Claims X	Fee from below =	Fee Paid
Ind. Clms	4	-3	12	\$18.00	\$216
Multiple Dependent Claims			1	\$80.00	\$80
			0	0	0

Large Entity		Small Entity		Fee Description
Code	\$	Code	\$	
103	18	203	9	Claims in excess of 20
102	80	202	40	Indep. claims in excess of 3
104	260	204	130	Multiple dependent claim
109	78	209	39	Reissue indep. claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)				(\$296.00)

#### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Code	\$	Code	\$		
105	130	205	65	Surchrng - late filing fee or oath	<input type="checkbox"/>
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	<input type="checkbox"/>
147	2520	147	2520	Filing a request for reexamination	<input type="checkbox"/>
112	920*	112	920*	Request publication of SIR prior to Examiner action	<input type="checkbox"/>
113	1840*	113	1840*	Request publication of SIR prior to Examiner action	<input type="checkbox"/>
115	110	215	55	Extension for reply within first month	<input type="checkbox"/>
116	380	216	190	Extension for reply within second month	<input type="checkbox"/>
117	870	217	435	Extension for reply within third month	<input type="checkbox"/>
118	1360	218	680	Extension for reply within fourth month	<input type="checkbox"/>
119	300	219	150	Notice of Appeal	<input type="checkbox"/>
120	300	220	150	Filing a brief in support of appeal	<input type="checkbox"/>
121	260	221	130	Request for oral hearing	<input type="checkbox"/>
138	1510	138	1510	Petition to institute a public use proceeding	<input type="checkbox"/>
140	110	240	55	Petition to revive: unavoidable	<input type="checkbox"/>
141	1210	241	605	Petition to revive: unintentional	<input type="checkbox"/>
142	1210	242	605	Utility issue fee (or reissue)	<input type="checkbox"/>
143	430	243	215	Design issue fee	<input type="checkbox"/>
144	580	244	290	Plant issue fee	<input type="checkbox"/>
122	130	122	130	Petitions to the Commissioner	<input type="checkbox"/>
123	50	123	50	Petitions related to provisional applications	<input type="checkbox"/>
126	240	126	240	Submission of Information Disclosure Statement	<input type="checkbox"/>
581	40	581	40	<b>Recording each patent assignment per property</b>	<b>40.00</b>
146	690	246	345	Filing a submission after final rejection (37 CFR § 1.129(a))	<input type="checkbox"/>
149	690	249	345	Each additional invention to be examined (37 CFR § 1.129(b))	<input type="checkbox"/>
Other fee (specify)					<input type="checkbox"/>
Other fee (specify)					<input type="checkbox"/>
*Reduced by Basic Filing Fee Paid					
<b>SUBTOTAL (3)</b>					<b>(\$40.00)</b>

#### SUBMITTED BY CUSTOMER NO. 25094:

Name	<b>Armando Pastrana, Jr.</b>	Registration No.	<b>44,997</b>	Telephone	<b>(512) 457-7080</b>
Signature		Date	<b>09/28/01</b>		

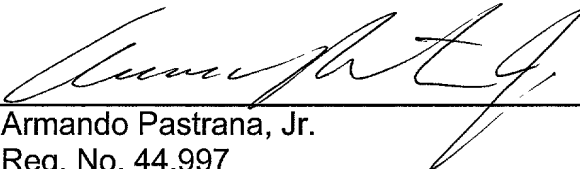
Complete (if applicable)

<b>REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>	First Named Inventor	David Sawey, et al.
	Title	Method and System for Frame and Pointer Alignment of Sonet Data Channels
	Atty. Docket:	ALCA1910-1

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

September 28, 2001

Date

  
Armando Pastrana, Jr.  
Reg. No. 44,997

This request must be signed in compliance with 37 C.F.R. 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 C.F.R. 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 C.F.R. 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of: David Sawey and Edmund Cher  
Date Filed: September 28, 2001  
Title: **METHOD AND SYSTEM FOR FRAME AND POINTER ALIGNMENT  
OF SONET DATA CHANNELS**

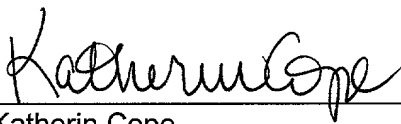
**BOX PATENT APPLICATIONS**

Hon. Asst. Commissioner of Patents  
Washington, D.C. 20231

Dear Sir:

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

I hereby certify that the attached Utility Patent Application Transmittal Form, Utility Patent Application, Fee Transmittal, Executed Declaration and Power of Attorney, Executed Assignment and Assignment Recordation Cover Sheet, Request for Non-Publication, Drawings and Postcard are being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 28<sup>th</sup> day of September 2001, and is addressed to the Asst. Commissioner of Patents, ATTN: Box Patent Applications, Washington, D.C. 20231.

  
Katherin Cope

Express Mail Receipt No.:  
EL911433024US  
Attorney's Docket:  
ALCA1910-1